



Government Grant Risk Assessment (Pre- and Post-Award)

To ensure the appropriate stewardship of government grant funding and adherence to the requirements under the Uniform Grant Guidance (2 CFR 200), the enclosed questionnaire assesses the readiness of grant seeking organizations. These questions require feedback on critical areas necessary to manage and execute a federal grant award, including subrecipient monitoring, financial integrity, and a recipient's oversight capacity.

1. Do you have policies and procedures in place that comply with 2 CFR 200 internal controls requirements? If not, what is your plan to ensure compliance?

Yes

No

Comments:

2. Do you have the personnel and technology infrastructure to manage the award upon receipt?

Yes

No

Comments:

3. Are you able to perform recipient and/or subaward-related technical and administrative tasks satisfactorily (as outlined in the terms and conditions of each notice of grant award and/or contract with the recipient)?

Yes

No

Comments:

4. Do you utilize an adequate accounting system with a project cost accounting system (and the ability to manage time and effort reporting)? If so, what accounting system do you use and how is this managed?

Yes

No

Comments:



5. Do you have the financial capability to implement and administer the subaward (or serve as a subrecipient)?

Yes

No

Comments:

6. Do you have an indirect cost rate agreement with a cognizant federal government agency? Have you budgeted appropriate indirect costs using the correct rates and application base?

Yes

No

Comments:

7. Have you ever been debarred or suspended from receiving Federal grants or contracts?

Yes

No

Comments:

8. Have you ever been audited (and/or received a single audit), and if so, were there findings we should be aware of?

Yes

No

Comments:

9. If awarded grant funds as a subrecipient, are you willing to sign an agreement and promise to follow federal key subaward data as delineated by 2 CFR §200.331(a):

<https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200#200.331>?

Yes

No

Comments:

10. Do you have a procurement policy to ensure any subawards or contractual agreements adhere to the procurement standards as outlined in 2 CFR 200?

Yes

No

Comments:



11. Can you ensure the proper certifications and registrations to do business with the federal government as a condition of government funding?

___ Yes

___ No

Comments:

12. 100% of parties who implement the grant will have DEI policies in place: the lead, partners, coalition members, and any secured subcontractors. Do you have a DEI policy or agree to have a policy in place before the grant program begins?

___ Yes

___ No

Comments: